



Lumigent® AppGRC for PeopleSoft Financial Management®

Working with leading experts in internal audit and risk management, Lumigent has developed AppGRC for PeopleSoft Financial Management, a financial compliance control system that runs alongside PeopleSoft Financial Management to strengthen the integrity of critical business operations and reporting.

Through automated data baselining and continuous monitoring of data and controls, AppGRC enhances the accuracy of financial reporting and decision making by providing persuasive, source-level information.

AppGRC for PeopleSoft Financial Management doesn't just monitor controls — it is the control system and it captures every change to the source data it monitors. Through continuous monitoring, it also assures that a report of "no change" is provable and persuasive, thereby satisfying all of the requirements for auditors.

Lumigent improves operational efficiencies and mitigates business and financial risk by providing complete visibility into application data activity

AppGRC for PeopleSoft Financial Management is pre-configured to monitor the most critical application data and can be up and running in days. Additionally, it can be extended and configured to meet an individual organization's unique controls and regulatory requirements. The business processes addressed by AppGRC for PeopleSoft Financial Management include financial accounting and reporting, purchase to pay, order to cash, fixed assets, inventory management, and manufacturing.

Highlights

- Application Baseline**
 Pre-configured rules create a controls baseline of over 1,000 critical PeopleSoft data fields. Continuous monitoring of this baseline provides compliance officers and auditors with a detailed exception report over time, eliminating 100s of hours of costly manual controls testing.
- Application Auditing**
 Lumigent provides the industry's most complete audit trail of all PeopleSoft database transactions. Policy-driven audit collection ties PeopleSoft user IDs to before and after views of all data changes at the field level. Compliance reporting is simplified as policies are mapped to your specific audit requirements.
- Fast ROI**
 Pre-configured rules and reports provide for quick implementation of AppGRC for PeopleSoft Financial Management. Lumigent customers report, on average, a payback period of less than one year.

ActivityID	Date Time	Event Name	Application User	Server Host	DatabaseName	AppGRC Control	Object Name	Severity
1000000001	20090116 17:54:34	INSERT	VP1	lumh4vm1	DMOFNB	Discounts on invoices are calculated correctly and posted to the appropriate GL account.	Discounts/Surcharges.	High
1000000002	20090116 17:54:43	UPDATE	VP1	lumh4vm1	DMOFNB	Discounts on invoices are calculated correctly and posted to the appropriate GL account.	Discounts/Surcharges.	High
1000000003	20090116 17:56:48	INSERT	VP1	lumh4vm1	DMOFNB	Payments are matched to appropriate invoices.	Cash Drawer Receipts.	High
1000000004	20090116 17:57:52	INSERT	VP1	lumh4vm1	DMOFNB	Inventory is valued and posted correctly.	Demand Fulfillment Setup.	High
3000000001	20090116 21:40:42	UPDATE	CNDY	lumh4vm1	DMOFNB	Relevant material master data has been defined and is captured in the system.	Inventory Item Control.	High
3000000002	20090116 21:40:45	UPDATE	CNDY	lumh4vm1	DMOFNB	Relevant material master data has been defined and is captured in the system.	Inventory Item Control.	High
3000000003	20090116 21:44:31	UPDATE	CNDY	lumh4vm1	DMOFNB	Materials are appropriately priced in the material master record.	Operating Inventory Record.	High
3000000004	20090116 21:44:31	UPDATE	CNDY	lumh4vm1	DMOFNB	Minimum and maximum stock levels are set for each product and monitored.	Operating Inventory Record.	High

Lumigent helps CFOs, CIOs, controllers, and other business executives reduce the cost of meeting Governance, Risk and Compliance requirements for their primary business applications.



Key Benefits of AppGRC for PeopleSoft Financial Management

- Informed decision making through greater information integrity
- Reduced risk through more accurate reporting
- Reduced cost through automated compliance

A Complete Solution from Lumigent

When you purchase Lumigent's AppGRC for PeopleSoft Financial Management, you receive a complete solution, pre-configured to monitor and safeguard your PeopleSoft application. It includes everything you need to begin your first project including the Lumigent AppGRC Rules Repository, the Lumigent AppGRC Audit Engine, and specific rules, policies, and reports for PeopleSoft Financial Management.

Name	Type	Owner	# Policy	Status	Last Modify Date			
PSF-555.AC.SCM.PO	Audit Rule	audtdtd	1	Active	2009-01-16T17:28:49.263-0500Z	Drop	UnAssign	Clone
PSF-556.MD.SCM.PO	Audit Rule	audtdtd	1	Active	2009-01-16T17:28:49.263-0500Z	Drop	UnAssign	Clone
PSF-530.AC.SCM.PO	Audit Rule	audtdtd	1	Active	2009-01-16T17:28:49.247-0500Z	Drop	UnAssign	Clone
PSF-547.AC.SCM.PO	Audit Rule	audtdtd	1	Active	2009-01-16T17:28:49.247-0500Z	Drop	UnAssign	Clone
PSF-552.AC.SCM.PO	Audit Rule	audtdtd	1	Active	2009-01-16T17:28:49.247-0500Z	Drop	UnAssign	Clone
PSF-554.AC.SCM.PO	Audit Rule	audtdtd	1	Active	2009-01-16T17:28:49.247-0500Z	Drop	UnAssign	Clone
PSF-502.AC.AP	Audit Rule	audtdtd	1	Active	2009-01-16T17:28:49.233-0500Z	Drop	UnAssign	Clone
PSF-507.AC.SCM.PO	Audit Rule	audtdtd	1	Active	2009-01-16T17:28:49.233-0500Z	Drop	UnAssign	Clone
PSF-518.AC.SCM.PO	Audit Rule	audtdtd	1	Active	2009-01-16T17:28:49.233-0500Z	Drop	UnAssign	Clone
PSF-476.AC.SCM.PO	Audit Rule	audtdtd	1	Active	2009-01-16T17:28:49.217-0500Z	Drop	UnAssign	Clone
PSF-484.AC.SCM.PO	Audit Rule	audtdtd	1	Active	2009-01-16T17:28:49.217-0500Z	Drop	UnAssign	Clone

TIME	Event	Application User	Description
1/16/09 17:54 PM	CONTROL ADDED	VP1	The Amount control value 0 has been added
1/16/09 17:54 PM	CONTROL ADDED	VP1	The Calculation_cd control value AMT has been added
1/16/09 17:54 PM	CONTROL ADDED	VP1	The Discount/Surcharge control value S has been added
1/16/09 17:54 PM	CONTROL ADDED	VP1	The Percentage control value 0 has been added
1/16/09 17:54 PM	CONTROL MODIFICATION	VP1	The Amount value was changed from 755 to 0
1/16/09 17:54 PM	CONTROL MODIFICATION	VP1	The Calculation_cd value was changed from AMT to PCT
1/16/09 17:54 PM	CONTROL MODIFICATION	VP1	The Percentage value was changed from 0 to 4
1/16/09 17:56 PM	CONTROL ADDED	VP1	The Deposit Unit control value US001 has been added
1/16/09 17:56 PM	CONTROL ADDED	VP1	The Receipt Number control value 16 has been added
1/16/09 17:57 PM	CONTROL ADDED	VP1	The Date Option control value has been added
1/16/09 17:57 PM	CONTROL ADDED	VP1	The Location Detail control value N has been added
1/16/09 21:40 PM	CONTROL MODIFICATION	CNDY	The Last Item Number Assigned value was changed from 1000 to 2000
1/16/09 21:40 PM	CONTROL MODIFICATION	CNDY	The Auto Number Items value was changed from N to Y

LUMIGENT
 Lumigent Technologies, Inc.
 289 Great Road
 Acton, MA 01720 USA
 866-LUMIGENT (586.4436)
 info@lumigent.com
 www.lumigent.com